



Member FINRA, NFA and SIPC

Customer Identification Program Notice

To help fight the funding of terrorism and money laundering activities, the law requires all financial institutions (i.e. Brasil Plural Securities, LLC (“BPS” or the “Firm”)) to obtain, verify and record information that identifies each person or entity who opens an account. BPS is required by law to ask you to provide your name, address, date of birth and other information about you, your organization or persons related to your organization that will allow BPS to identify you and the overall account ownership prior establishing an account with the Firm. BPS also may request that you provide certain identifying documents, such as valid driver license, passport or your organization's articles of incorporation (or similar documentation) and may obtain credit and other consumer reports to assist us in verifying your identity, as well as other account qualifying criteria. Unless requested information and documentation is timely provided BPS may not be able to establish your account and it reserves to the right to reject any potential customer for failure to provide satisfactory information upon request.

By applying for a BPS account, you agree to provide requested information and documentation, as well as consent to BPS’ acquisition of credit and other consumer reports as deemed necessary by the Firm in accordance with its customer identification program obligations and requirements.